



9-10-04

LFW

EXPRESS MAIL NO. EV335396165US

**TRANSMITTAL  
FORM***(To be used for all correspondence  
after initial filing)*

Application Number	10/683,865
Filing Date	October 9, 2003
First Named Inventor	Ragina Naidu
Art Unit	1621
Examiner Name	
Attorney Docket No.	740082.405

**ENCLOSURES (check all that apply)**

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449<br><input checked="" type="checkbox"/> Cited References<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Request for Corrected Filing Receipt<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address<br><input type="checkbox"/> Declaration<br><input type="checkbox"/> Statement under 37 CFR 3.73(b)<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> CD(s), Number of CD(s) _____<br><input type="checkbox"/> After Allowance Communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC ( <i>Appeal Notice, Brief, Reply Brief</i> )<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> ):<br>_____<br>_____<br>_____ |
|---|---|--|

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Individual Name	Karl R. Hermanns	Customer Number <b>00500</b>
Signature		
Date	September 9, 2004	

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name SENT VIA EXPRESS MAIL

Signature

Date:

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 513742



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ragina Naidu  
Application No. : 10/683,865  
Filed : October 9, 2003  
For : NOVEL TAXANES AND METHODS RELATED TO  
USE AND PREPARATION THEREOF

Art Unit : 1621  
Docket No. : 740082.405  
Date : September 9, 2004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:

In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicant wishes to make known to the U.S. Patent and Trademark Office the references set forth on the attached Form PTO-1449. Applicant notes that since the application filing date is after June 30, 2003, copies of the cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of all other cited references are enclosed. As to any reference cited, applicant does not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserves the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information he/she is aware of which is believed relevant to the examination of the above-identified application, applicant believes that his/her invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicant believes this Information Disclosure Statement has been timely filed, however, the Director is authorized to charge any fee due by way of this Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC



---

Karl R. Hermanns  
Registration No. 33,507

Enclosures:

Postcard  
Form PTO-1449  
Cited References (9)

701 Fifth Avenue, Suite 6300  
Seattle, Washington 98104-7092  
Phone: (206) 622-4900  
Fax: (206) 682-6031

513745



EXPRESS MAIL NO. EV335396165US

Sheet 1 of 1FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
740082.405APPLICATION NO.  
10/683,865INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)APPLICANT  
Ragina NaiduFILING DATE  
October 9, 2003GROUP ART UNIT  
1621

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,821,363	10/13/98	Wicnienski et al.	548	215	
	AB						
	AC						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AD	WO 94/13655	06/23/94	WIPO		
	AE	WO 94/29288	12/22/94	WIPO		
	AF					

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AG	Aggarwal, V., et al., "Additions of Stabilised and Semi-stabilised Sulfur Ylides to Tosyl Protected Imines: Are They Under Kinetic or Thermodynamic Control?," <i>J. Chem. Soc., Perkin Trans. 1</i> :3159-3166, 2001.
	AH	Crousse, B., et al., "First Stereoselective Synthesis of <i>cis</i> 3-CF <sub>3</sub> -Aziridine-2-Carboxylates. A Route to New (Trifluoromethyl) $\alpha$ -Functionalised $\beta$ -Amino Acids," <i>Synlett</i> , no. 5, pp. 679-681, 2001.
	AI	Freihammer, P., et al., "Halogenation of 4-Phenyl-3-(Phenylsulfonyl)-2-Azetidinones with <i>N</i> -Halosuccinimides. Kinetic vs Thermodynamic Control," <i>J. Org. Chem.</i> 65(21):7203-7207, 2000.
	AJ	Lee, S-H et al., "Efficient Syntheses and Ring-Opening Reactions of <i>trans</i> - and <i>cis</i> -Oxazoline 5-Carboxylates," <i>Organic Letters</i> 2(9):1243-1246, 2000.
	AK	Madan, S., et al., "A New Synthetic Approach for Novel C-3 Substituted $\beta$ -Lactams," <i>Tetrahedron Letters</i> 41:5577-5581, 2000.
	AL	Manhas, M., et al., "A Convenient Synthesis of Azetidine-2, 3-Diones ( $\alpha$ -Keto- $\beta$ -Lactams)," <i>Tetrahedron Letters</i> 25(42):4733-4736, 1984.
	AM	van der Veen, J., et al., "Synthesis of Azetidine-2,3-Diones ( $\alpha$ -Keto $\beta$ -Lactams) via 3-(Phenylthio)-2-Azetidinones," <i>J. Org. Chem.</i> 54:5758-5762, 1989.

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).